

MTS-3286US

PATENT

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: D. Ogata et al. : Art Unit:  
Serial No.: To Be Assigned : Examiner:  
Filed: Herewith :  
FOR: OPTICAL HEAD :

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

S I R :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The enclosed references are not in the English language, but were either cited by a foreign patent office in a counterpart foreign application or an English language counterpart is attached thereof. A copy of this Japanese language search report, indicating the degree of relevance determined by the foreign patent office is submitted herewith. The requirement for a concise explanation is thereby satisfied in accordance with MPEP § 609A(3). Also

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enclosed is an English translation of Form PCT/ISA/210 to explain the special category definitions of the Japanese language search report.

This information disclosure statement is being filed with the above-referenced application. No fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

  
Allan Ratner, Reg. No. 19,717  
Attorney for Applicants

AR/dlm

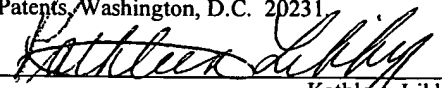
Encls.: PTO Form 1449,  
Copy of (15) References,  
Japanese Language Search Report, and  
English Translation of PCT/ISA/210

Suite 301, One Westlakes, Berwyn  
P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Kathleen Libby

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE  <b>Information Disclosure Statement by Applicant</b>  (Use several sheets if necessary)	ATTY. DOCKET NO. MTS-3286US	SERIAL NO To Be Assigned
	APPLICANT D. Ogata et al.	
	FILING DATE Herewith	GROUP

**U.S. PATENT DOCUMENTS**

Exmr Initial		Document Number	Date	Name	Class	Sub Class	Filing Date
		5,657,305	8/1997	Sasaki et al. (counterpart of JP 8-212612)			
		5,920,532	7/1999	Yagi et al. (counter part of JP 9-81953)			
		5,835,473	11/1998	Shimozono et al. (counterpart of JP 10-233034)			
		5,768,027	6/1998	Takahashi (counterpart of JP 10-31826)			

**FOREIGN PATENT DOCUMENTS**

Exmr Initial		Document Number	Date	Country	Class	Sub Class	Translation YES   NO
		EP 0 829862	3/1998	EPO (counterpart of JP 10-91969)			
		10-91969	4/1998	Japan			
		9-204683	8/1997	Japan			X
		10-124903	5/1998	Japan			X
		8-212612	8/1996	Japan			
		9-81953	3/1997	Japan			
		9-91749	4/1997	Japan			X
		9-120027	5/1997	Japan			X
		10-233034	9/1998	Japan			
		10-31826	2/1998	Japan			
		10-31841	2/1998	Japan			X

**OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages, Etc.)

		International Search Report corresponding to application no. PCT/JP00/02968 dated September 12, 2000.
		English translation of Form PCT/ISA/210.

Examiner	Date Considered
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**Examiner:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.